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<b>Report To:</b>	<b>Inverclyde Integration Joint Board</b>	<b>Date: 2 November 2020</b>
<b>Report By:</b>	<b>Louise Long Corporate Director (Chief Officer) Inverclyde Health &amp; Social Care Partnership</b>	<b>Report No: IJB/66/2020/AS</b>
<b>Contact Officer:</b>	<b>Allen Stevenson Head of Health and Community Care</b>	<b>Contact No: 01475 715212</b>
<b>Subject:</b>	<b>RECONVENING OF INVERCLYDE JOINT ADULT SUPPORT AND PROTECTION INSPECTION</b>	

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## **1.0 PURPOSE**

- 1.1 The purpose of this report is to brief the IJB on the proposed plan to reconvene the Inverclyde Joint Adult Protection inspection, led by the Care Inspectorate, Health Improvement Scotland and Her Majesty's Inspectorate of Constabulary

## **2.0 SUMMARY**

- 2.1 The Inverclyde Joint Inspection commenced in January 2020 with progress being made until the suspension of the programme as a result of the Covid – 19 pandemic. The majority of the inspection was complete, with caseload reading of social work, health and police records along with staff focus groups the two elements outstanding.
- 2.2 As part of their Recovery Plan, the Lead Inspector from the Care Inspectorate has advised that they wish to reconvene the inspection.
- 2.3 A formal approach has not yet been made but discussion has taken place between the Head of Health and Community Care, Service Managers and the inspecting organisations to discuss whether two proof of concepts can be tested in Inverclyde.
- 2.4 Inspectors wish to use the remainder of the Inverclyde Joint Inspection to test proof of concepts both involving file reading case files remotely in order to comply with COVID-19 regulations and rather than visit Inverclyde to carry out the inspection from different locations.
- 2.5 If successful, this will enable the Inverclyde Inspection to reach a full conclusion and allow inspectors to adopt the proof of concepts as the recognised methodology for future joint AP Inspections across Scotland.
- 2.6 A formal letter to reconvene the inspection is due imminently which will set out a programme of activity with associated timescales.

### **3.0 RECOMMENDATIONS**

3.1 The IJB is asked to note the contents of the report.

**Louise Long  
Chief Officer**

## **4.0 BACKGROUND**

- 4.1 The Inverclyde Joint Adult Protection Inspection commenced in January 2020. File reading was due to commence in March 2020 at the time inspectors stood down due to the COVID – 19 pandemic.
- 4.2 The Care Inspectorate have advised that they wish to test two proof of concepts in order to conclude the Inverclyde inspection which, if successful will become the recognised methodology for future inspections across Scotland.
- 4.3 The two proof of concepts require that file reading takes place outwith Inverclyde.
- 4.4 The first proof of concept is for one team of inspectors to be based securely in a central Care Inspectorate location with remote, read only access to systems in order to undertake file reading.
- 4.5 The second proof of concept is for a smaller team of inspectors to be based securely in their own homes with remote, read only access to systems in order to undertake file reading.
- 4.6 The Care Inspectorate is currently undertaking a Data Protection Impact Assessment (DPIA). This will document the end to end processes for each proof of concept, highlighting risks and controls to mitigate them.
- 4.7 The most effective and efficient way to access social work records is to provide inspectors with Inverclyde Council laptops with read only access to SWIFT and CIVICA. This will enable them to access the Adult Protection module and associated records and documents.
- 4.8 Pre-file reading training will be given by the Performance and Information Team prior to the actual file reading to ensure that Inspectors can access the system.
- 4.9 Trainers and practitioners will be identified to offer inspectors remote support throughout the file reading period.
- 4.10 Inspectors will be issued with Inverclyde Council laptops to undertake the file reading. SWIFT (social work information system) and CIVICA (electronic document management system) will be installed to enable read only access for social work records and software will be installed to enable access to health records.
- 4.11 Her Majesty's Inspectorate of Constabulary in Scotland have made separate arrangements with Police Scotland to have access to the appropriate platform for remote, read only access to police records.
- 4.12 On completion of the file reading, remote focus groups with staff will take place to conclude the Inverclyde Joint Adult Protection Inspection.

## **5.0 IMPLICATIONS**

### **5.1 Finance**

There are no specific financial implications arising from this report

One-Off Costs

Cost Centre	Budget Heading	Budget Years	Proposed Spend this Report £000	Virement From	Other Comments

Annually Recurring Costs/ (Savings)

Cost Centre	Budget Heading	With Effect from	Annual Net Impact £000	Virement From (If Applicable)	Other Comments
N/A					

**LEGAL**

5.2 There no specific legal implications arising from this report.

**HUMAN RESOURCES**

5.3 There no specific human resources implications arising from this report.

**EQUALITIES**

5.4 Has an Equality Impact Assessment been carried out?

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YES

NO – This report does not introduce a new policy, function or strategy or recommend a change to an existing policy, function or strategy. Therefore, no Equality Impact Assessment is required.

5.4.1 How does this report address our Equality Outcomes?

Equalities Outcome	Implications
People, including individuals from the above protected characteristic groups, can access HSCP services.	This Inspection will look at the referral process for raising ASP concerns
Discrimination faced by people covered by the protected characteristics across HSCP services is reduced if not eliminated.	This Inspection will look at the referral process for raising ASP concerns by all groups and how IHSCP respond to needs of the protected characteristics group
People with protected characteristics feel safe within their communities.	This Inspection will look at the ASP process and how we protect and safeguard vulnerable adults
People with protected characteristics feel included in the planning and developing of services.	The inspection will look at service user involvement in the ASP process

HSCP staff understand the needs of people with different protected characteristics and promote diversity in the work that they do.	This Inspection will look at how HSCP staff support people with protected characteristics
Opportunities to support Learning Disability service users experiencing gender based violence are maximised.	This Inspection will look at the LD service response to these issues within ASP
Positive attitudes towards the resettled refugee community in Inverclyde are promoted.	This Inspection will look at the service response to these issues within ASP

## CLINICAL OR CARE GOVERNANCE IMPLICATIONS

- 5.5 There are clinical or care governance implications arising from this report. The Inspection will look at governance arrangements within the ASP process

## 5.6 NATIONAL WELLBEING OUTCOMES

How does this report support delivery of the National Wellbeing Outcomes?

National Wellbeing Outcome	Implications
People are able to look after and improve their own health and wellbeing and live in good health for longer.	The inspection will assist in evaluating effectiveness of ASP procedures to meet this outcome
People, including those with disabilities or long term conditions or who are frail are able to live, as far as reasonably practicable, independently and at home or in a homely setting in their community	The inspection will assist in evaluating effectiveness of ASP procedures to meet this outcome
People who use health and social care services have positive experiences of those services, and have their dignity respected.	The inspection will assist in evaluating effectiveness of ASP procedures to meet this outcome
Health and social care services are centred on helping to maintain or improve the quality of life of people who use those services.	The inspection will assist in evaluating effectiveness of ASP procedures to meet this outcome
Health and social care services contribute to reducing health inequalities.	The inspection will assist in evaluating effectiveness of ASP procedures to meet this outcome
People who provide unpaid care are supported to look after their own health and wellbeing, including reducing any negative impact of their caring role on their own health and wellbeing.	The inspection will assist in evaluating effectiveness of ASP procedures to meet this outcome
People using health and social care services are safe from harm.	The inspection will assist in evaluating effectiveness of ASP procedures to meet this outcome

People who work in health and social care services feel engaged with the work they do and are supported to continuously improve the information, support, care and treatment they provide.	The inspection will assist in evaluating effectiveness of ASP procedures to meet this outcome
Resources are used effectively in the provision of health and social care services.	The inspection will assist in evaluating effectiveness of ASP procedures to meet this outcome

## 6.0 DIRECTIONS

### 6.1

<b>Direction Required to Council, Health Board or Both</b>	Direction to:	
	1. No Direction Required	X
	2. Inverclyde Council	
	3. NHS Greater Glasgow & Clyde (GG&C)	
	4. Inverclyde Council and NHS GG&C	

## 7.0 CONSULTATION

7.1 The report has been prepared by the Chief Officer of Inverclyde Health and Social Care Partnership (HSCP) after due consideration with relevant senior officers in the HSCP.

## 8.0 BACKGROUND PAPERS

8.1 None.